

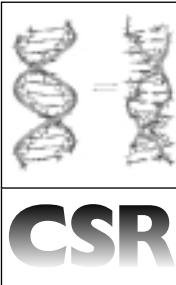
# Nucleic acid conformation diversity: from structure to function and regulation

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Nucleic acids are the structural supports of genetic material and therefore the key factors in many vital cellular processes. The double-stranded right-handed helix is a regular conformation adopted by both DNA and RNA in cells, but an increasing number of results point to the biological importance of alternative structures such as bulges, hairpins, branched junctions or quadruplexes. Progress in the chemical synthesis of oligonucleotides and in the knowledge of the factors that favour a particular conformation has opened new fields of research in molecular recognition and drug design.

## 1 Introduction

DNA and RNA encode biological information through their linear sequence of nucleotides to specify the composition of proteins, and through their shapes to control their assembly with other cellular macromolecules. Depending on their sequences and environmental conditions, DNA and RNA may adopt a variety of secondary structures such as double-stranded helices, bulges, hairpins, and three-way and four-way branches, which have been described in various reviews.<sup>1-4</sup> The determination of the biological role of non-B DNA structures and of complex

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RNA edifices is an area of intense study. Important questions have to be answered, especially concerning their existence and role *in vivo*, their mode of formation and regulation, and the information they carry. The development of the chemical synthesis of oligonucleotides, now accessible in large quantities, allows the structural analysis of these conformations, their recognition by other macromolecules and the design of specific ligands.

After a general introduction on DNA and RNA conformations and structures, emphasising their possible biological roles, we will show how the synthesis of oligonucleotides of definite sequence allows the detailed study of particular structures or conformations using a large variety of physical, biochemical and physicochemical methods. In the second part, the interaction with small molecules and macromolecules will be discussed in terms of biological significance and drug design.

## 2 Nucleic acid structures

The most usual structure of DNA is a double-stranded right-handed helix with a negatively charged backbone (deoxyribose phosphate) on the outside and stacked base pairs (adenine–thymine, guanine–cytosine) on the inside (Fig. 1). The primary

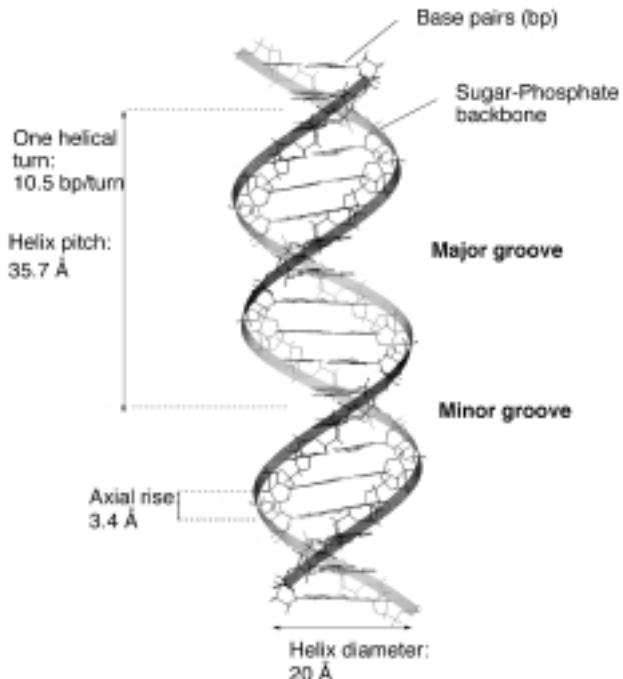
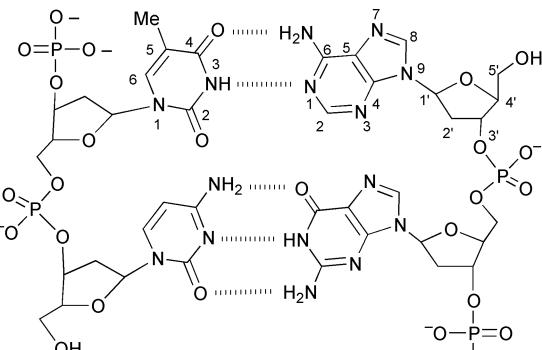


Fig. 1 Structural parameters of B-form DNA.

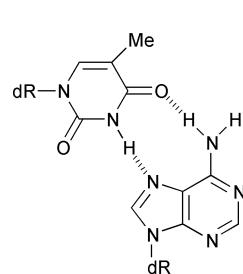
structure of RNA differs only by the presence of 2'-OH group on the sugar unit and the replacement of thymine by uracil (which differs by the absence of the methyl group at C5). Yet RNAs display greater structural diversity and chemical reactivity; they can adopt double-stranded structures through base pairing, and also form folded structures composed of duplex domains connected by single-stranded regions.

Different types of interaction control the structural changes observed in DNA and RNA: the charged phosphate groups of the backbone are mutually repulsive, and the formation of hydrogen bonds between bases and strong stacking interaction between the flat surfaces of the base pairs are implicated in the formation of multistranded structures (double- or triple-stranded helices, quadruplexes...) (Fig. 2).

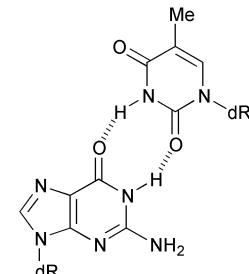
The different conformations are not static entities. Each nucleotide has its own dynamics, thus conferring local motion



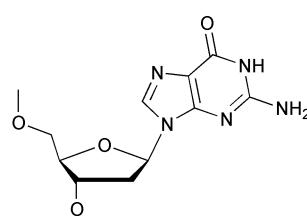
Watson–Crick base pairing



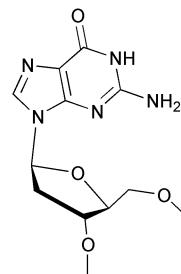
Hoogsteen base pairing (T-A)



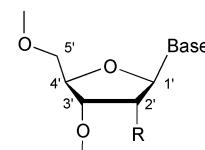
Wobble G-T base pairing



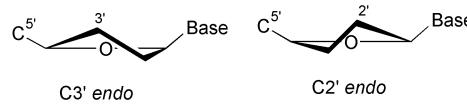
Anti position of guanine



Syn position of guanine



DNA: deoxyribose R = H  
RNA: ribose R = OH



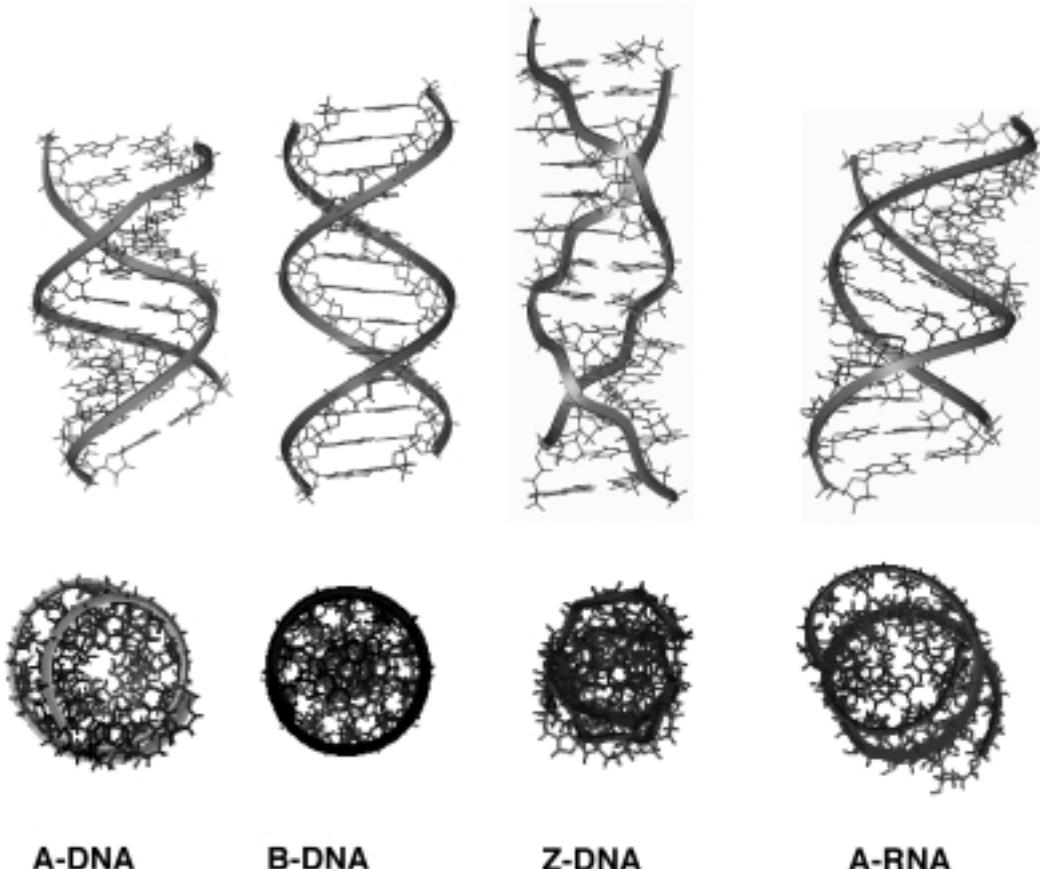
Ribose conformations

Fig. 2 Secondary structure of DNA, base pairing and numbering, sugar and nucleoside conformations.

on the helix in addition to the global motion of the macromolecule.

### 2.1 Double-stranded helices

Some structural features, such as the conformation of the sugar unit and the *syn* or *anti* conformation of the nucleic base relative to the sugar, are crucial for nucleic acid conformations (Fig. 2). DNA can adopt three major conformations, A-, B- and Z-forms (Fig. 3). The main parameters describing the helices are collected in Table 1. All three forms have antiparallel strands. A- and B-DNA are right-handed helices and Z-DNA is a left-



**Fig. 3** Molecular modelling representations of the major nucleic acid duplex conformations, the sugar–phosphate backbone is indicated with a ribbon. Bottom views: orthogonal representations. (Insight II (98) from MSI).

**Table 1** Major helix parameters

Parameter	A-DNA	B-DNA	Z-DNA	A-RNA
Helix sense	Right	Right	Left	Right
Residue per turn	11	10.5	11.6	11
Axial rise	2.55 Å	3.4 Å	3.7 Å	2.8 Å
Helix pitch	28 Å	36 Å	45 Å	
Rotation per residue	32.7°	36°	–9°, –51°	32.7°
Diameter of helix	23 Å	20 Å	18 Å	
Sugar pucker:				
dA, dT, dC	C3' <i>endo</i> , <i>anti</i>	C2' <i>endo</i> , <i>anti</i>	C2' <i>endo</i> , <i>anti</i>	C3' <i>endo</i> , <i>anti</i>
dG	C3' <i>endo</i> , <i>anti</i>	C2' <i>endo</i> , <i>anti</i>	C3' <i>endo</i> , <i>syn</i>	C3' <i>endo</i> , <i>anti</i>
Major groove	Narrow, deep	Wide, deep	Flattened	
Minor groove	Wide, shallow	Narrow, deep	Narrow, deep	

handed helix. Double-stranded segments of RNA adopt the A-form structure that is very similar to the A-DNA conformation.

As shown in Fig. 3, A-DNA and A-RNA are wide and compact helices, with a cavernous major groove and a minor shallow groove. The base pairs are displaced off-axis. B-DNA is slimmer, more elongated with base planes essentially perpendicular to the helix axis, with a narrow minor groove and a wide major groove. The base pairs sit directly on the axis so that the major and minor grooves are of equal depth. For A- and B-conformations, the glycosylic bond is in the *anti* conformation. Z-DNA is thinner (diameter of approximately 18 Å compared to 20 Å for B-form) and more elongated (11.6 base pairs per helical turn compared to 10.5 base pairs for B-DNA). The major groove is completely flattened out on the surface of the molecule and the minor groove is very deep. The base pairs are displaced off-axis. The characteristic zigzag chain path in Z-

DNA arises from the alternation of *syn* and *anti* conformations at guanine and cytosine respectively, which causes a local chain reversal and produces a helical repeat consisting of two successive bases, purine plus pyrimidine. Despite the fact that the two helices are ‘inverted’, B-DNA cannot be converted into Z-DNA by simply twisting the two ends of the helix. When converting a section of B-DNA into Z-DNA, the base pairs must flip to become upside down relative to the orientation they had in B-DNA. To do so, the purine residues rotate around their glycosylic bonds, from *anti* to *syn* positions and for the pyrimidine nucleosides, both the bases and the sugars rotate to produce the characteristic zigzag backbone conformation. The mechanism of this conversion is far from obvious and has received little attention compared to the physical and chemical studies of the Z-conformation itself. It should be noted that the position of the imidazole ring of guanine is profoundly affected by the conformation. In Z-DNA it is located on the outer side of the DNA molecule with easy access to the N7 and C8 atoms, whereas these two atoms are more shielded in B-DNA. This difference in accessibility accounts for the difference in reactivity of the two forms of the macromolecule.

In aqueous solution and in cells, DNA is essentially in B-form. Nevertheless, the existence of the Z-conformation *in vivo* and its biological role is still an intensive area of research. Most of the work dealing with *in vivo* studies of Z-DNA has been reviewed by Herbert and Rich;<sup>5</sup> the major findings are the formation and stabilisation of Z-DNA by negative supercoiling. Formation of the Z-conformation unwinds DNA, thus relaxing the supercoils, which is a thermodynamically favoured process. It has been proposed that the energy necessary to form and stabilise Z-DNA *in vivo* can be generated during the transcription process. The difference in shape between B- and Z-DNA may also modulate the interaction with DNA-binding proteins, and Z-DNA-binding proteins have been isolated in different organisms.

Curvature is another significant feature of double-stranded DNA. The importance of curvature in DNA condensation and in the expression of genetic information justifies wide interest in this structural feature. It has been suggested that short runs of adenines, or A-tracts, separated by mixed sequences, produce substantial curvature of DNA. This hypothesis is the basis of sequence-directed curvature of DNA. Different models have been proposed to explain curvature, mostly based on interruption of the B-form DNA by an A-tract that adopts a non-B helix structure. Indeed, A tracts adopt a unique structure called B' characterised by a reduced helical repeat (10 base pairs per turn instead of 10.5 base pairs per turn for B-DNA) in which the minor groove is narrower than in B-DNA.

## 2.2 Other secondary structures

**2.2.1 Bulges.** Bulged loops are formed in double-stranded DNA or RNA due to the presence of unpaired nucleotides on one strand. They can contain one or more nucleotides and are classified in different types depending on their location: on one strand, on both strands (internal loop) or at a junction (Fig. 4).

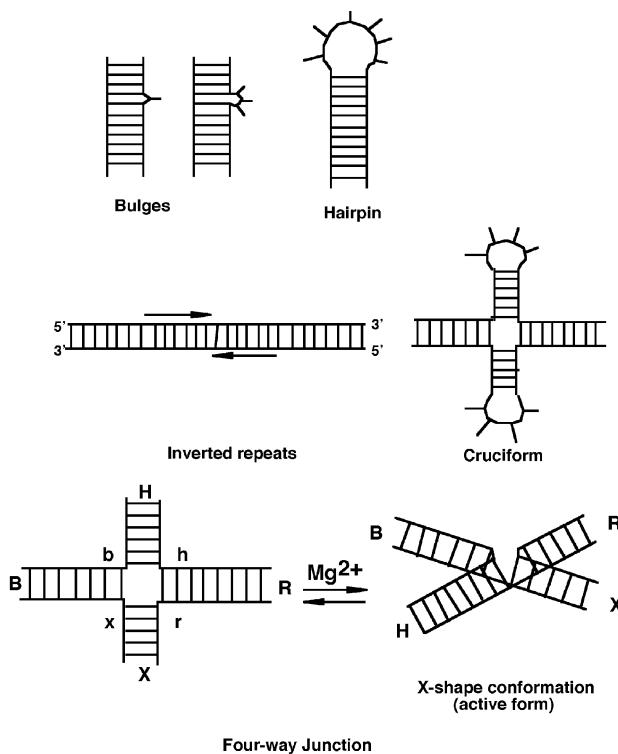


Fig. 4 Simplified representation of RNA and DNA main secondary structures.

In DNA, bulge formation may provoke mutations due to replication or transcription errors. In RNA, bulges are found in regions important for protein–RNA recognition or for the catalytic activity of RNA structures such as ribozymes.

**2.2.2 Branched helical species.** Three- and four-way junctions can form in both DNA and RNA. Two types of four-way junctions are found in DNA: cruciforms and Holliday junctions (Fig. 4). Cruciforms are intramolecular structures formed in supercoiled DNA. Holliday structures, in contrast, join two separate DNA molecules and are probably involved in genetic recombination.

Cruciform structures<sup>6</sup> are formed in defined ordered sequences (inverted repeats or palindromes) that read the same in either direction, from the 5' to 3' in either strand. Cruciforms

contain three components: the loops, the stems and the four-way junction (Fig. 4). The stems are normally fully base paired in the B-conformation and are not subjected to supercoiling. The loops are single-stranded structures positioned at the end of each stem that contain two or more unpaired bases.

Cruciform extrusion and stabilisation require DNA supercoiling, and result in the relaxation of one negative supercoil per 10.5 base pairs of DNA. Two mechanisms (S- and C-pathways) account for the cruciform extrusion.<sup>1,2</sup> In the S-pathway, 10 base pairs must unwind at the centre of the inverted repeat allowing intrastrand hydrogen bond formation. A mechanism of branch migration then forms the cruciform structure. The C-pathway is independent of the base composition of the inverted repeats but requires A + T rich regions flanking the inverted repeats. Denaturation of A + T rich sequences at low salt concentrations forms a denaturation bubble that may enlarge to the repeat allowing the formation of cruciforms.

Holliday junctions occur between two DNA regions of homologous sequences. This sequence identity allows one strand to base pair either with its original complementary strand or with a complementary segment of the second duplex. To be formed, Holliday junctions require the close proximity of the two helices that lead to groove–backbone interactions (DNA self-fitting).<sup>7</sup> Once they are formed, Holliday junctions may undergo two types of processes: 1) branch migration, which is a progressive exchange of base pairing between homologous duplexes and which allows the junction to move along the DNA helices, and 2) resolution, where two of the four strands are enzymatically cleaved (by resolvases) to give two symmetrical helices.

The four-way junctions are involved in major biological events both in prokaryotes and in eukaryotes as reviewed in detail by Sinden<sup>2</sup> and Pearson.<sup>6</sup> It has been suggested that transient formation of cruciforms is a prerequisite for the initiation of DNA replication. Holliday junctions are formed during chromosome recombination, a major genetic process involved in gene expression and repair, which allows DNA to exchange sequences.

Three- and four-way junctions are also common in RNA and constitute the key elements for the folding into the three-dimensional structures responsible for the specific biological and catalytic activities of RNAs.<sup>4</sup>

**2.2.3 Quadruplexes.**<sup>8</sup> A G-quadruplex is a unique structure, formed by Hoogsteen-type base pairing between four guanines and involving chelation of a metal cation. G-Quadruplexes can be formed by intramolecular folding of guanine-rich sequences or by intermolecular association of two or four sequences (formation of dimeric or tetrameric quadruplexes) (Fig. 5). *In vivo*, the presence of G-quadruplexes has not been proven yet, but they might be formed in the telomeres that constitute the extremities of the chromosomes and are essential for maintaining the integrity of the genome. Telomeric DNA consists of tens to thousands of repeats of a short sequence in which one strand shows a preference for guanines. This G-rich strand has a single strand overhang, which can form G-quadruplexes. Normal somatic cells progressively lose telomeric repeats during successive cycles of cell division. This loss leads to cell death and may be considered as a biological clock limiting the proliferative life span of somatic cells. This process is compensated by telomerase, a reverse-transcriptase enzyme that elongates the 3'-end of telomeric DNA. This enzyme is not activated in normal somatic cells, but in contrast some 80–90% of tumour cells exhibit telomerase activity. This telomerase activity preserves telomere length and therefore the capacity for cell division. Telomerase might thus be essential for cell immortalization and tumorigenesis. Human telomerase has been proposed as a novel and potentially highly selective target

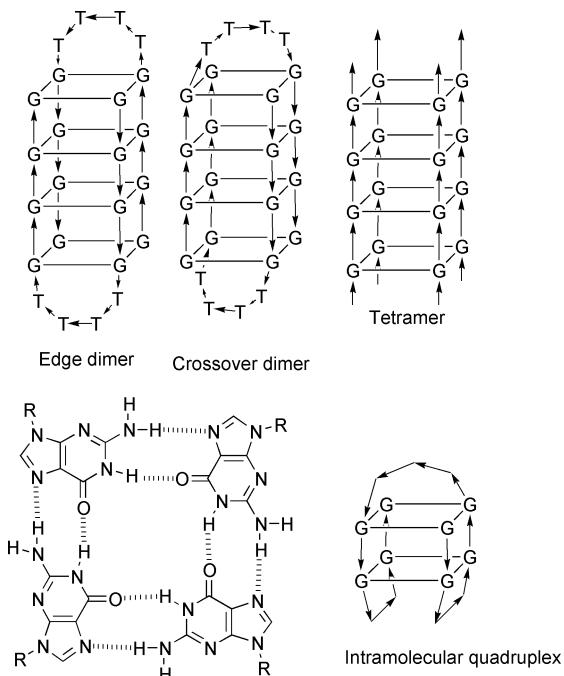


Fig. 5 Quadruplex structure and major conformations.

for antitumour drug design because of its specific expression in tumour cells.

### 3 Oligonucleotides as tools for nucleic acid conformation studies

Most structural changes such as left-handed Z-DNA, quadruplex or cruciform formation require nucleotide sequences that are prone to adopt those conformations. The design and synthesis of specific oligonucleotides give access to the structural analysis of different conformations and to the study of the factors that control their formation. Z-DNA conformation has now been studied for years and the different factors that modify B-Z equilibrium have been analysed in detail using a wide range of techniques and are now well understood and controllable. Other structures have also been studied including bulges, hairpins, four-way junctions and (the most recently reported) quadruplexes. We will focus here on the structural description of short oligonucleotides that have been used to study different conformations and through this description we will emphasise the structural, chemical and environmental factors important for their formation and stabilisation.

#### 3.1 Z-Conformations

Many reviews have described in detail the Z-conformation and the factors that influence its formation.<sup>2,9</sup> Rich published a major review in 1984.<sup>10</sup>

**3.1.1 Z-DNA.** In Z-DNA every other residue along the chain is in the *syn* conformation. As only purine residues can adopt the *syn* conformation, Z-DNA is found in alternating purine-pyrimidine sequences, such as poly(dG-dC).poly(dG-dC) and poly(dG-dT).poly(dA-dC), but not in poly(dA-dT).poly(dA-dT). Z-DNA was first detected by circular dichroism in (poly(dC-dG).poly(dC-dG)) in 4 M NaCl solution. Left-handedness and detailed structures were determined by X-ray crystallography. In solution, right-handed and left-handed conformations are in equilibrium. Nuclear magnetic resonance (NMR) spectroscopy provides powerful techniques for studying these conformations in solution. <sup>31</sup>P NMR spectra of poly(dG-

dC).poly(dG-dC) registered in different solutions are characterised by a unique resonance peak at low salt concentration, splitting at high salt concentration. This effect is due to the different environment of the phosphate groups in d(GpC) and d(CpG) sequences in the Z-form. <sup>1</sup>H NMR spectroscopy, and in particular the nuclear Overhauser effect (NOE) used to measure distances (up to 5 Å) between protons unconnected through covalent bonding, are very informative. When guanosine is in the *syn* conformation, as in Z-DNA, the C8 proton is close to the C1' proton of the deoxyribose and gives rise to a strong NOE. In contrast, when guanine adopts the *anti* conformation, as in B-DNA, these two atoms are too far apart for observing any NOE.

In natural DNA, supercoiling is the major factor influencing Z-formation. At the oligonucleotide level, interconversion between right-handed and left-handed helices is dependent on environmental factors such as the presence of metal ions, polyamines or organic solvents and upon the presence of modifications on the bases. These factors have been extensively discussed in recent reviews<sup>2,9</sup> and the main points are outlined here. Introduction of a bulky substituent, bromine or methyl group, at position 8 of guanines stabilises the Z-conformation since steric hindrance forces the deoxyribose to the *syn* position. Introduction of a methyl group or bromine at C5 of cytosine also favours the Z-conformation. The presence of a methyl group at position 5 of cytosine has been extensively studied as it stabilises the Z-conformation under physiological conditions, suggesting the biological interest of these structures. Indeed, poly(dG-dm<sup>5</sup>C).poly(dG-dm<sup>5</sup>C) sequences frequently occur in eukaryotic cells and it has been proposed that methylated sequences can play a role in the control of the gene expression.

Another feature of Z-DNA is that phosphate groups on opposite strands approach much closer than in B-DNA and therefore any factor that will reduce the phosphate-phosphate repulsion (shielding effect) will favour and stabilise the Z-form. Indeed, the formation of Z-DNA was first observed in a solution containing a high concentration of salt (4 M NaCl). If high concentrations of monovalent cations (Na<sup>+</sup>, K<sup>+</sup>, Cs<sup>+</sup>, Li<sup>+</sup>) are required to achieve B to Z transitions, the shielding effect is much more effective with divalent cations (Mg<sup>2+</sup>, Mn<sup>2+</sup>). Typical salt conditions necessary to induce the Z-conformation in poly(dG-dC).poly(dG-dC) are 2.5 M NaCl or 0.7 M MgCl<sub>2</sub> or 0.02 mM Co(NH<sub>3</sub>)<sub>6</sub><sup>3+</sup>. The effect is more pronounced with poly(dG-dm<sup>5</sup>C).poly(dG-dm<sup>5</sup>C) for which 0.6 mM MgCl<sub>2</sub> is sufficient to induce the transition. Polyamines have also been shown to stabilise the Z-conformation. The effect is strongly dependent on the sequence of the oligonucleotide and the nature of the polyamine. Poly(dG-dm<sup>5</sup>C).poly(dG-dm<sup>5</sup>C) undergoes B to Z transition in the presence of spermine<sup>4+</sup> and spermidine<sup>3+</sup>, in concentrations where poly(dG-dC).poly(dG-dC) remains in the B-form and eventually aggregates.<sup>11</sup> The effect of polyamines on DNA conformation is of major interest as polyamines are widely distributed in biological systems, and furthermore, increased concentrations of polyamines have been detected in some pathologies such as cancer, psoriasis and cystic fibrosis.

The influence of drugs on the B-Z equilibrium has also been studied. Most of the drugs that intercalate between DNA base pairs (for example, proflavine, ethidium bromide, and the anticancer agent daunomycin shown in Fig. 6) have been found to induce the Z to B transition. This transition can be related to a higher affinity of the drugs for the B-form that progressively shifts the equilibrium in favour of B-DNA. Netropsin, which binds to the minor groove of DNA, also promotes the Z to B transition. An interesting behaviour was observed for poly(dG-dC).poly(dG-dC) in the presence of dinuclear platinum complex [(*trans*-PtCl(NH<sub>3</sub>)<sub>2</sub>)<sub>2</sub>(H<sub>2</sub>N(CH<sub>2</sub>)<sub>6</sub>NH<sub>2</sub>)]<sup>2+</sup> (Fig. 6), in which the polyamine chain induces the Z-conformation as previously described for polyamines, but due to the presence of

the platinum complex, an additional step of interstrand covalent binding locks the Z-DNA and prevents the reversal to the B-conformation by ethidium bromide.<sup>12</sup>

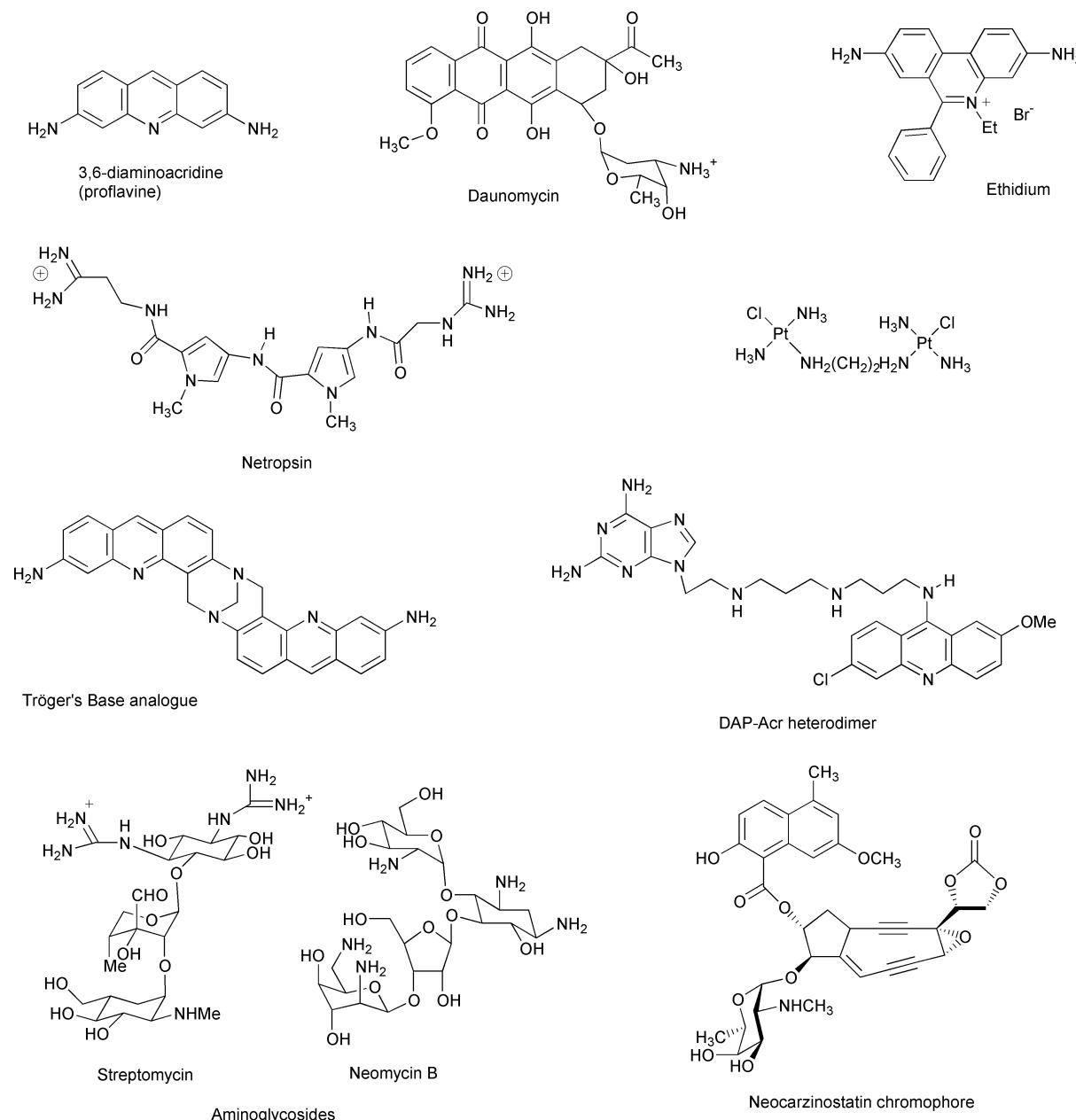
**3.1.2 Z-RNA.** Double-stranded portions of RNA are mainly in A-form, and non-A RNA conformations have received little attention; most of the work was done in the 80's. RNA can adopt a left-handed double helical conformation designated as Z-RNA.<sup>13</sup> The studies were performed with alternating purine–pyrimidine oligoribonucleotides. The conditions required for the formation of Z-RNA are more drastic than those needed for Z-DNA. The conformation was studied by <sup>31</sup>P and <sup>1</sup>H NMR, circular dichroism, Raman and UV spectroscopies. The CD spectra of Z-RNA differ markedly from those of Z-DNA, but the NMR data are in accordance with similar conformations for the two macromolecules. As observed for Z-DNA, bromination of the oligoribonucleotide poly[r(C–G)] stabilises the Z-form at lower salt concentrations and interaction of the intercalating agent ethidium bromide was shown to induce the transition to the right-handed form. An interesting feature of Z-RNA is the presence of the sugar 2'-hydroxy groups of guanosine residues

exposed on the surface of the helix, which might be of importance for protein binding or recognition.

### 3.2 Four-way junctions<sup>14,15</sup>

**3.2.1 Four-way DNA junctions.** Four-way junctions are transient structures that undergo dynamic branch migration, a process that precludes their analysis *in vitro*. Meanwhile, a major breakthrough occurred with the synthesis of stable DNA four-way junctions ('pseudo-cruciforms') formed with four non-homologous sequences chosen to hybridise in only one way, and which cannot undergo branch migration.

Gel electrophoresis is a very sensitive and non-perturbing technique that appeared to be a method of choice to study structural changes of pseudo-cruciforms. A stable junction is characterised by a much slower electrophoretic migration compared to linear sequences and this migration is dependent on the position of the junction relative to the ends of the arms. The conformations of the four-way junctions are highly influenced by the presence of cations. In the presence of Mg<sup>2+</sup> the four-way junction folds into an X-shaped structure while in



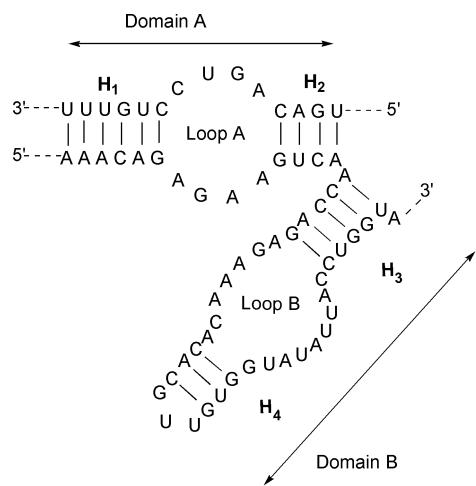
**Fig. 6** Representative binders of DNA and RNA secondary conformations

the absence of cations the unfolded extended conformation has a square geometry (Fig. 4). From these experiments, a structure was proposed for the Holliday junction, with an X-like conformation resulting from collinear association of two helices.<sup>14</sup> The main characteristics of this conformation are: (1) the arms of the junction associate in pairs by helix–helix stacking and two conformers are possible, differing in their stacking partners; (2) there is a two-fold symmetry in the structure generating two sets of strands—two strands are continuous through the point of strand exchange and the two other strands interchange between the two helices.

This X-shaped conformation was confirmed by high-field NMR and fluorescence resonance energy transfer (FRET).<sup>4</sup> This technique requires the synthesis of oligonucleotides in which two chromophores, a donor (fluorescein) and an acceptor (rhodamine), are covalently attached to two different 5' ends. Upon excitation of the donor, energy is transferred to the acceptor through dipolar interaction between the two transition moments; the efficiency of the transfer is dependent on the distance between donor and acceptor fluorophores. Different donor-acceptor molecules can be used. Comparison of the efficiencies of FRET allows the determination of the preferred conformations. The data thus obtained fully confirmed the conformations proposed earlier.

The oligonucleotides used for the early electrophoresis measurements contained about 80 nucleotides and were too long for NMR methodology. Therefore for the NMR studies, shorter oligonucleotides were synthesised, with 16 residues per strand (64 for the full junction) and then later a stable four-way junction with 38 nucleotides was prepared and studied.<sup>16</sup> To stabilise the junction, three of the four arms were 'capped' by small loops. Proton NMR data confirmed the stacked X-conformation and indicated that one single isomer was preferred. Another interesting finding was that full Watson-Crick base pairing was preserved at the junction site.

**3.2.2 Four-way RNA junctions.** Recently, Lilley<sup>4</sup> reported on a stable four-way junction of oligoribonucleotides designed to study the implication of such a junction in hairpin ribozyme activity (Fig. 7). Two strands of the junction contained the



**Fig. 7** Secondary structure of the hairpin ribozyme showing the two domains

unpaired loops that might be responsible for the self-cleavage activity of the ribozyme. Using the FRET technique and gel electrophoresis, it was shown that in the absence of metal ions, the junction adopted a square configuration and in the presence of  $Mg^{2+}$  an X-shaped structure. The self-cleavage activity was only observed in the presence of  $Mg^{2+}$ , indicating that the folded X-shaped conformation was the active form.

### 3.3 Bulges

The structure determination and dynamics of bulges have been reviewed by Turner.<sup>17</sup> The first technique used to compare different bulged duplexes was based on their mobility in gel electrophoresis. Different observations were made: duplexes containing bulges migrate more slowly than fully paired duplexes, and single-nucleotide bulges are faster than larger bulges. Electrophoretic migration is also dependent on both the nature and orientation of the base pairs flanking the bulges and this sequence effect is much more important for purine bulges than for pyrimidine ones. Similar results were also obtained with bulged RNA. More information on the conformation of bulges was gained from NMR experiments. NOE experiments providing quantitative and qualitative information on the distances between protons (distances < 5 Å) were combined with molecular dynamics to generate pictures of possible conformations. Short oligonucleotides containing single-nucleotide bulges, such as  $d(CCG^TGAATTCCGG)_2$  or  $d(CCGGAATTCTCGG)_2$  (where superscript bases designate the bulges), were studied by NMR spectroscopy. Factors such as the nature of the flanking base pairs or temperature were examined. Two positions for the unpaired bases related to the helix axis were observed, either looped out or stacked inside the helix, and this position was both sequence and temperature dependent. The major points that emerged from these experiments were that the structure of the bulge was very dependent on temperature for GTG and G<sup>C</sup>G but not for CTC, G<sup>A</sup>G or C<sup>A</sup>C, and that bulged purines kinked the helix axis more than did bulged pyrimidines.

### 3.4 G-Quadruplexes

The first structural data of quadruplexes were obtained in 1992 from X-ray crystallography and NMR studies of guanine rich sequences. Since then a growing number of papers have reported on their structure determination and biological properties and have been recently reviewed.<sup>8,18</sup>

It has been shown that guanine rich sequences form quadruplexes with different topologies and strand orientation depending on the sequence. Different types of quadruplexes, such as dimeric, tetrameric or intramolecular structures, have been reported (Fig. 5). One of the most extensively studied DNA sequences, d(G<sub>4</sub>T<sub>4</sub>G<sub>4</sub>), derived from the *Oxytricha nova* telomere, forms dimeric quadruplex structures [d(G<sub>4</sub>T<sub>4</sub>G<sub>4</sub>)]<sub>2</sub> with four guanine-quartets. However, the structures obtained by X-ray crystallography and NMR spectroscopy display different conformations and folding topologies. One explanation for these differences might be the presence of different counterions (Na<sup>+</sup> *versus* K<sup>+</sup>). Other nucleic bases might also form quadruplexes as A- or T-quadruplexes have been recently reported.

## 4 DNA and RNA molecular recognition

## 4.1 Recognition by small molecules

**4.1.1 Chemical probes.** Differences in chemical reactivity of nucleic acids are strongly influenced by the major structural changes existing between various DNA or RNA conformations. The presence of hydrogen bonds between nucleic acid bases prevents or limits the reactivity at the positions implicated in base pairing. In contrast these positions might be accessible and reactive in single-stranded segments of DNA or RNA molecules (in hairpins, bulges, *etc.*). Diethyl pyrocarbonate (DEPC), osmium tetroxide, bromo- or chloroacetaldehyde, and potassium permanganate are among the most efficient probes.<sup>19</sup> Diethyl pyrocarbonate reacts at N7 of adenine or guanine,

positions that are more accessible in Z-DNA for example. Osmium tetroxide mainly reacts at the thymine C5–C6 double-bond and this reaction is used to map the major groove and its accessibility. Other reagents, such as bromoacetaldehyde, react at the exocyclic amino groups and heterocyclic nitrogens normally involved in base-pairing and allow the identification of unpaired bases found in mismatches, bulges, *etc.*

**4.1.2 Enantiospecific interaction.** DNA handedness might be used to study the stereoselectivity of drug–DNA interactions. A few examples of chiral drug resolution through B-DNA binding have been reported. These studies were mainly based on chromatographic methods, with DNA-immobilised stationary phases. We successfully used a partition technique to probe the enantiospecific interaction of heterocyclic Tröger's Base (see structure in Fig. 6) with calf-thymus B-DNA.<sup>20</sup> Physico-chemical analyses of the stereoselective interaction of metal complexes with B- or Z-DNA have been the subject of intense study.<sup>21</sup> To date, a limited series of molecules have been shown to bind stereospecifically to B-DNA, but no DNA binder has been found to interact specifically with Z-DNA for use as a conformational probe.

**4.1.3 Bulge and hairpin specific recognition.** Intercalating agents, such as ethidium bromide or 9-aminoacridine, which are able to intercalate between base pairs, have been shown to interact preferentially at bulges and recently a cobalt(II) complex has been proposed as a bulge-specific probe.<sup>22</sup>

The interaction of neocarzinostatin chromophore with bulged DNA was studied in detail by Goldberg and co-workers<sup>23</sup> Neocarzinostatin (Fig. 6), the active chromophore of a macromolecular protein, contains the ene-diyne functionality. As with other members of the ene-diyne family, the neocarzinostatin chromophore is activated by the formation of a biradical species that induces DNA cleavage by hydrogen abstraction. The specific interaction of the active form of the drug with a bulge appeared to modify its chemical behaviour. The cleavage efficiency was enhanced and the reaction led to a new drug product. A detailed NMR study of the complex formed between the drug and a bulge revealed a perfect fit between the active form of the drug and the bulge. Surprisingly, while a number of DNA bulges have been shown to interact with neocarzinostatin, the drug cleaves RNA bulges very poorly. Recent results<sup>24</sup> suggest the existence of a steric clash between 2'-OH of the ribose and OMe moiety of the drug that might account for the lower binding to RNA. These experiments also point out the necessity of a bulge-specific drug binding for efficient cleavage.

Different classes of compounds have been shown to bind specific sites (such as bulges and hairpins) of structured RNAs. These include, for example, small organic and inorganic ligands, short peptides. This area has been reviewed recently.<sup>25</sup> We designed a series of heterodimers containing an intercalator (aminoacridine) and a 2,6-diaminopurine linked together by a polyaminolinker (Fig. 6). These molecules were shown to interact with a bulge containing RNA.<sup>26</sup> Our hypothesis is that the aminoacridine moiety intercalates in the RNA duplex and that the 2,6-diaminopurine residue may base pair with the unpaired uracil of the bulge. Although most of the small RNA ligands appeared to bind DNA as well, aminoglycoside antibiotics (*i.e.* streptomycin, neomycin shown in Fig. 6) revealed a promising preference for RNA motifs such as hairpins and bulges.

A promising application involves RNA aptamers.<sup>27</sup> RNA aptamers are short RNA sequences that are selected *in vitro* from randomised pools of RNA to bind small ligands with high affinity and selectivity. This field has been reviewed recently by Tor<sup>27</sup> and a recent work of Werstuck and Green<sup>28</sup> reported on the use of small organic molecules to control gene expression in living cells. To do so, they incorporated a small aptamer into a

specific region (5'-untranslated region or UTR) of a messenger RNA (mRNA). The presence of the aptamer alone did not alter the translation process of the modified mRNA, but the binding of the aptamer specific ligand, an aminoglycoside antibiotic, induced a conformational change that blocked the mRNA translation *in vitro* as well as in cultured mammalian cells.

**4.1.4 G-Quadruplex formation and stabilisation by small molecules.** The possible formation of G-quadruplexes in the single-stranded overhang of telomeres confers on these conformations importance as new targets for anticancer drug design. It has been demonstrated that G-quadruplex formation inhibits telomerase activity *in vitro* and that telomerase inhibition may lead to cell death. Therefore drugs that stabilise G-quadruplexes in telomeric DNA may also interfere with the enzyme activity and display antitumour activities. The design of such specific drugs is currently an area of intense research. Various molecules have been shown to interact with G-quadruplexes and have been recently reviewed.<sup>29,30</sup> Intramolecular quadruplexes, most likely formed within a G-rich single strand folding back on itself, offer different binding sites (Fig. 8) which may be targeted by small molecules (Fig. 9). A

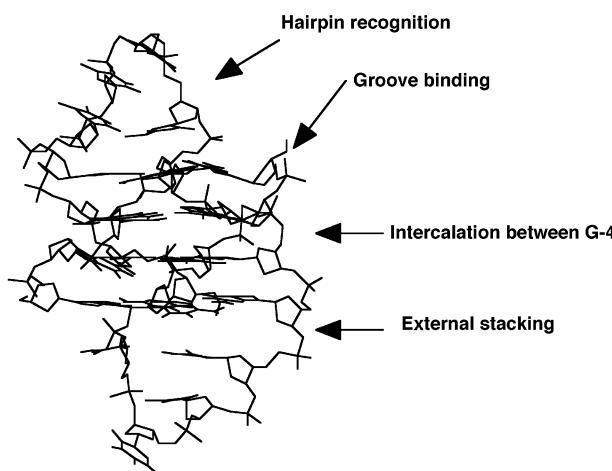


Fig. 8 Possible sites for intramolecular quadruplex specific binders.

drug may interact with the loops or the grooves, intercalate between two G-tetrads or stack on one external G-quartet. So far, only one G-quadruplex–drug complex has been fully characterised by NMR studies. The perylene derivative, PIPER, designed by Hurley's group, was not only shown to preferentially bind to G-quadruplex by stacking on one external G-quartet but also to accelerate the formation of the G-quadruplexes.<sup>31</sup> Other molecules, such as porphyrins and anthraquinones, were thought to interact by external stacking to the G-tetrads. Using the structure–activity relationship and molecular modelling, 2,6-disubstituted amidoanthracene-9,10-dione derivatives were designed as human telomerase inhibitors by Neidle's group.<sup>32</sup> Cationic porphyrin, TMPyP4, appeared to be very promising, but recent pharmacological data indicate that *in vitro* cytotoxicity of TMPyP4 was comparable in human tumour and normal cell lines, and this might induce side-effects on normal cells.<sup>33</sup> More work has still to be done to increase tumour specificity of this type of anticancer agent. Fluorescent porphyrins reported by Bolton's group<sup>34</sup> appeared to be highly fluorescent in the presence of quadruplex but not duplex DNA and might be useful as quadruplex probes. One molecule, carbocyanine dye DODC,<sup>35</sup> was reported to selectively bind to the grooves of hairpin quadruplexes. DODC does not interact with double-stranded DNA or linear quadruplexes. The interaction of DODC with intramolecular quadruplexes induces important changes in the spectroscopic

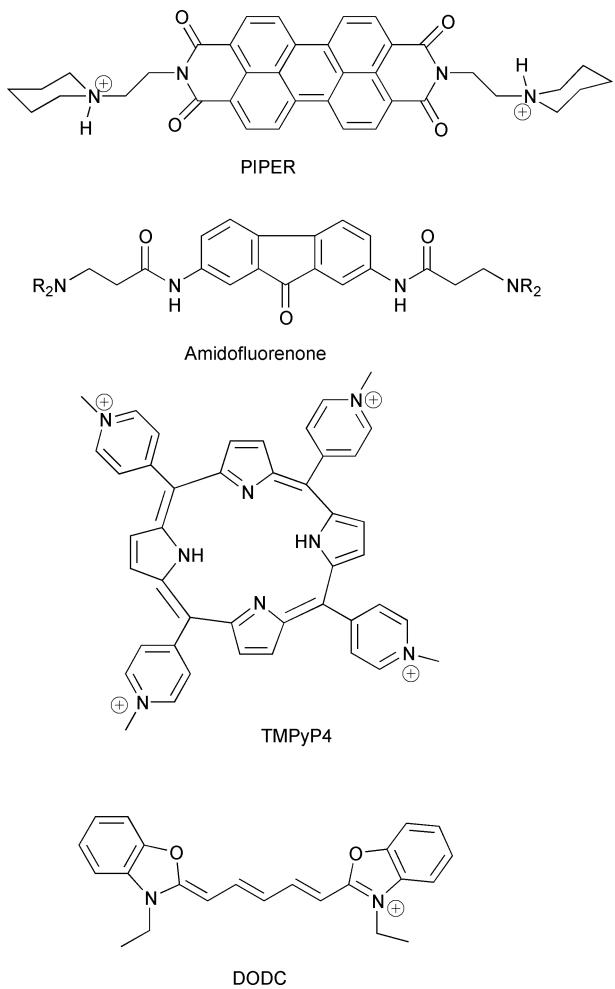


Fig. 9 Chemical structures of quadruplex binders.

properties of the drug that may be useful for *in vitro* or *in vivo* detection of intramolecular quadruplexes. These include induced circular dichroism, quenching of fluorescence upon excitation with visible light and strong energy transfer from DNA.

## 4.2 Protein–nucleic acid interactions

**4.2.1 Antibodies.** *In vivo* detection is critical for the study of the biological roles of unusual DNA conformations. For a long time, identification and quantification of unusual structures was achieved by the production and use of specific antibodies. This approach was based on the immunogenicity (*i.e.* the ability to activate the immune system and induce the production of specific antibodies) of some nucleic acid conformations. Thus, Z-DNA appeared to be strongly immunogenic and it has been shown that different antibodies recognise different features of Z-DNA such as the base pairs or the backbone itself.<sup>9</sup> Z-DNA was in evidence during the transcription process and its formation was correlated with the negative supercoiling induced by RNA polymerase progressing along the DNA.

Z-RNA is also immunogenic.<sup>36</sup> Anti-Z-RNA antibodies recognise Z-RNA but not natural or synthetic Z-DNA conformations. The major chemical difference between Z-RNA and Z-DNA being the presence of 2'-hydroxy groups exposed on the surface of the Z-RNA helix, one explanation for the observed specificity is that these hydroxy groups might be strongly involved in the binding of the antibody to Z-RNA sequences. Anti-Z-antibodies have been used to probe the presence of Z-RNA in cytoplasm and later, to show that Z-RNA was

synthesised in the nucleolus. Similarities in the behaviour of Z-RNA and ribosomal RNA suggested that some detected cellular Z-RNA might in fact be double-stranded sequences of ribosomal RNA of nucleolar origin. Furthermore, it was also proposed that A to Z transitions in ribosomal RNA might act as molecular switches for controlling protein synthesis. This hypothesis was supported by the inhibition of protein synthesis by anti-Z-RNA antibodies bound to RNA duplexes.<sup>36</sup>

Branched structures are also targets for specific antibodies. Anti-cruciform antibodies recognise some structural features of the cruciforms but not the corresponding linear inverted repeats. Fluorescence labelling of eukaryotic cell nuclei with anti-cruciform antibodies has been used to localise and quantify cruciform structures. The presence of cruciforms was found to be intimately linked to DNA replication.<sup>6</sup>

Recently, a new class of antibodies was isolated and found to have a preference for quadruplex DNA<sup>37</sup> and more specifically for parallel four-stranded structures. However, the detection of quadruplex structures *in vivo* remains to be achieved.

**4.2.2 Enzyme–DNA recognition.** *Specific recognition of Z-DNA sequences.* The specific recognition of Z-DNA by enzymes has been the subject of intense study in order to grasp the biological role of Z-conformation. Different enzymes were found to recognise Z-DNA and among them, double-stranded RNA deaminase (ADAR1) displayed the highest affinity for Z-DNA. Interaction of this enzyme with Z-DNA was studied in detail by Rich and co-workers.<sup>38</sup> The enzyme includes a DNA binding domain, Z $\alpha$ , which is specific for left-handed DNA. Very recently, a crystal structure of Z $\alpha$  complexed to Z-DNA (d(TCGCGCG)<sub>2</sub> hexamer) was obtained and showed that interactions occur between the sugar–phosphate backbone of the oligonucleotide and Z $\alpha$  domain of the enzyme and that both the ‘zigzag’ shape of the backbone and the *anti*–*syn* alternation of the bases are recognised by the Z $\alpha$  domain.

*Recognition and manipulation of four-way junctions.* Four-way junctions play a central role in genetic recombination and in the repair of double-strand breaks caused by radiation and chemical damage. Different proteins bind more or less specifically to four-way junctions and manipulate the junctions; the subject has been reviewed recently.<sup>39</sup> Studies of genetic recombination pathways in *Escherichia coli* have helped to define each stage of the process and to elucidate the DNA–protein interactions that occur during DNA pairing, strand exchange (branch migration) and resolution of recombination intermediates. In *Escherichia coli*, three enzymes, RuvA, RuvB and RuvC, are implicated in recombination of Holliday junctions: the RuvAB complex catalyses branch migration and RuvC ultimately resolves recombination intermediates into two separate duplex DNAs. In the recent years, use of synthetic Holliday junctions has allowed a better understanding of the different processes and detailed characterisation of protein–Holliday junction complexes. RuvB, which may be considered as the motor of branch migration, is a ring-shaped hexameric protein that belongs to the helicase family. RuvA is a tetrameric protein that selectively recognises and binds Holliday junctions. Finally, RuvC is a member of the resolvases (or junction resolving enzymes) whose mechanisms of action have been extensively studied.<sup>39</sup> A recent crystal structure of a RuvA–Holliday junction complex<sup>40</sup> and detailed biochemical studies<sup>41,42</sup> give a more accurate picture of the recombination process. RuvA binds with high affinity to Holliday junctions. The crystal structure of RuvA protein (isolated from *Mycobacterium leprae*) in complex with a synthetic four-way junction indicates that two RuvA tetramers are associated into an octameric shell, generating a cruciform cavern sandwiching the DNA junction. The complexation of RuvA to four-way junctions induces an important distortion of the junction structure from the X-shape conformation, characteristic of the

free junctions, to a cruciform structure as recently shown by atomic force microscopy.<sup>43</sup> Tethered to the RuvA–Holliday junction complex are two hexameric rings of RuvB protein encircling the DNA duplex arms in opposite directions.<sup>41</sup> The two RuvB rings act as DNA motors driving branch migration through the RuvA-bound junction. Ultimately, RuvC achieves the junction resolution. From the biochemical studies of the mechanism of action of RuvC and other resolvases (such as T4 endonuclease VII from bacteriophage or CCE1 from yeast),<sup>44</sup> the following points emerge: (1) the binding of the enzyme is a structure-selective process in which the base sequence does not play any role; (2) the resolving enzymes bind to the junction as dimers in which two sub-units cleave two opposite strands of the four-way junction; (3) the binding of a resolving enzyme to a four-way junction induces a change in the structure of the junction, CCE1 introducing the greatest distortion as it unfolds the X-structure into an extended square configuration of the arms; and (4) the enzymes catalyse the hydrolysis of the phosphodiester backbone in a sequence-specific process. It has been proposed that the binding of RuvC to a junction is stabilised by RuvB, which also stimulates its resolvase activity.<sup>42</sup> In the hypothetical scheme, the three enzymes form a RuvABC complex with the four-way junction in which RuvC ‘scans’ the DNA sequences as they pass through the complex, resulting in efficient resolution at preferred sites.

## 5 Tertiary structures and the importance of folding in nucleic acid biology

### 5.1 Structures

The folding or the association of secondary structures of DNA or RNA creates a remarkable variety of different shapes. The three-dimensional architecture of DNA and RNA provides potentially catalytic centres and binding pockets for cations, proteins and other nucleic acids. Metal ions are known to play an important role in the folding process dominated by electrostatic interactions.

Structural studies of RNAs taken from a wide variety of organisms have dramatically increased during the past few years. Despite the diversity of the RNA sources, only a limited number of structural motifs have been identified, suggesting that the complex folding responsible for the specific recognition *in vitro* is due to the way the simple elements are pieced together.<sup>45</sup> Branch points formed by the global folding may create specific binding pockets for metal ions or specific target sites for proteins.<sup>4</sup> Internal loops and bulges are among the simplest secondary structures that create branch points, which may act as specific recognition sites for protein binding. Interactions between secondary structures can be of different nature.<sup>46</sup> Helical motifs may interact with each other or with unpaired motifs. In the first case, coaxial stacking of helical regions allows higher order organisation of RNA through stacking interactions between nucleic bases. This contribution in the folding process was demonstrated first in tRNA structures (Fig. 10). These RNAs are organised into three hairpin structures. About 60% of the bases are implicated in stacking interactions in double-stranded sequences, but actually, more than 90% of the bases are involved in stacking interactions due to coaxial stacking occurring between arms in the three-dimensional structure. Such an organisation is also observed in natural catalytic RNAs. For these structures, the coaxial stacking is often completed by the binding of divalent ions close to the stacking site. The interactions between helical and unpaired regions lead to the formation of new motifs such as the four nucleotide loop sequences or tetraloops. Furthermore, new helices can be created through base pairing interactions between the nucleotides of two hairpin loops ('kiss complex') or



Fig. 10 Three-dimensional structure of tRNAs (Insight II (98) from MSI).

between hairpin loops and single-stranded sequences (pseudoknots).<sup>46</sup>

An important aspect of the biological activities of RNAs resides in their ability to catalyse chemical processes such as formation and hydrolysis of phosphodiester bonds or formation of peptide bonds. These natural catalysts are named ribozymes. We will comment only on small catalytic motifs such as the hammerhead and hairpin ribozymes expressed during the replication cycle of Tobacco ringspot virus satellite. We will not discuss the more complex structures found in Group I introns, hepatitis delta virus or ribosomes. It is interesting to note that recent technical progress in the field of combinatorial chemistry of nucleic acids and their *in vitro* selection has led to a broadening of the field of the chemical transformations catalysed by nucleic acids:<sup>47</sup> cleavage of amide or carboxylic ester bonds, C–C bond formation, isomerisation reactions, etc.

The hammerhead and hairpin ribozymes catalyse the site specific cleavage and ligation reactions that transform the oligomeric forms of the circular RNAs into monomeric circular forms. The hydrolysis of the phosphodiester bond generates 2',3'-cyclic phosphate and 5'-OH extremities. The two ribozymes differ in their structural organisation and their cleavage mechanism. The hammerhead ribozyme (Fig. 11) is composed of three double-stranded domains connected by single-stranded regions containing conserved nucleic bases that are necessary for the cleavage activity. The reaction requires the activation of a ribose 2'-hydroxy group, which then attacks its 3'-attached phosphate. The activation step involves a hydroxide ion bridged by two Mg<sup>2+</sup> ions.<sup>48</sup> The hairpin ribozyme (Fig. 7) bound to its substrate constitutes two domains termed A and B which contain an internal loop flanked by two helices (H<sub>1</sub> and H<sub>2</sub> in domain A and, H<sub>3</sub> and H<sub>4</sub> in domain B as shown in Fig. 7). The folding of the two domains is independent, but tertiary contacts between them are necessary to form a functional complex. Metal ions facilitate this global folding but appear not to be required for the catalytic reaction. It has been suggested that the role of the tertiary contacts might be to organise the active site in such a way that the reactions can be catalysed by RNA functional groups. This hypothesis is supported by a recent study on another self-cleaving ribozyme.<sup>49</sup> In this example, it was convincingly suggested that a cytosine residue acts as the general base catalyst which activates a specific ribose 2'-hydroxy group for attacking the 3' adjacent phosphodiester bond.

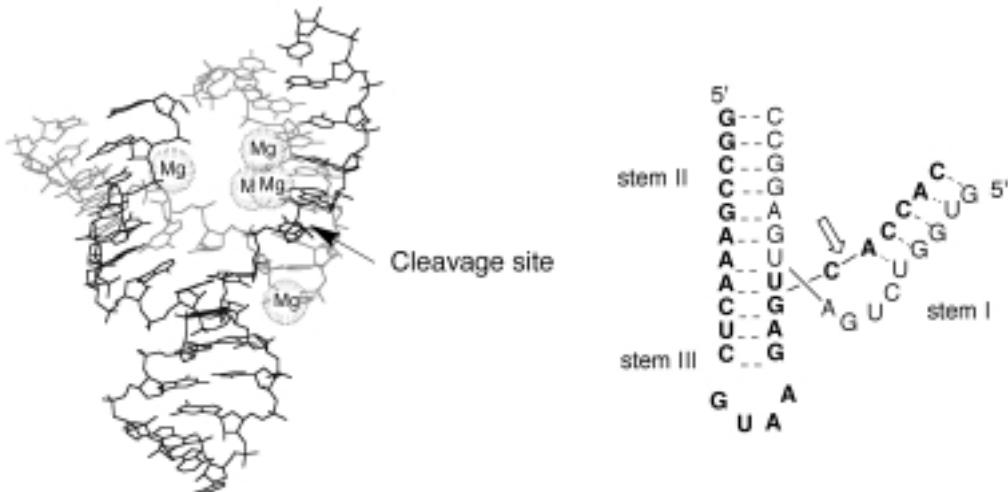


Fig. 11 The active form of the hammerhead ribozyme (adapted from Scott *et al.*<sup>57</sup>). The cleavage site in the substrate strand (in bold character) is marked by an arrow.

## 5.2 Folding interactions

Structural information on large and complex RNAs is not always fully addressed by structural techniques such as NMR or X-ray crystallography. The use of organic chemistry to vary individual functional groups in a nucleic acid is referred to as 'atomic mutagenesis'. This concept has found many applications in the study of RNA, for which a great variety of chemical modifications has been envisaged. Photo-cross-linking agents or photoreactive nucleic bases (such as 4-thiouridine, 5-bromouridine) are used to probe RNA–RNA and RNA–protein interactions. Modified ribose and phosphates are very useful to analyse RNA structure and function. Fluorescent labels can also be incorporated to investigate RNA folding pathways and kinetics by using the fluorescence resonance energy transfer (FRET) technique. Many different strategies have been devised to incorporate chemical modifications into RNA (for a recent review, see Zimmerman *et al.*<sup>50</sup>)

Methods based on RNA–protein cross-linking have found application in the study of nucleoproteins and the recognition of folded RNAs by proteins or peptides. An example of secondary structure recognised by specific proteins is given by the HIV life cycle.<sup>51</sup> The transcriptional regulation of this virus requires specific binding of Tat protein with the transcription responsive region (TAR) RNA, a stem-loop structure containing a bulge and present at the 5'-end of all messenger RNA (mRNA). This binding causes a substantial increase in mRNA levels. Structurally, the contact extends over five base pairs and includes a trinucleotide pyrimidine bulge and hexanucleotide loop. The role of the bulge is most likely to make an accessible Tat site in the otherwise deep and narrow major groove of the duplex RNA.

HIV-1 Tat comprises 86 amino-acid residues organised in six regions. TAR is a stem-loop structure of 59 residues found at the 5'-end of all HIV transcripts. The interaction of Tat with TAR is essential for the virus cell cycle. Atomic mutagenesis allowed the identification of the essential residues of TAR required for Tat interaction.<sup>50</sup> Functional group mapping of TAR showed that in the TAR–Tat complex, the basic region lies in the major groove of the duplex segment of TAR. The phosphate groups appeared also to actively participate in Tat binding. Replacement of precise phosphate groups by methyl phosphonates revealed their indirect interaction with basic residues (arginine or lysine) in Tat.

Other good examples of the use of modified nucleotides are found in the ribozyme field.<sup>50</sup> The hammerhead and hairpin ribozymes, which contain less than 50 nucleotides, can be prepared by total chemical synthesis and thus can easily be site

specifically modified. The functional groups required for the cleavage activity have been studied by modifying the bases, sugar or phosphate at almost every position. These chemical modifications have given new insights into the mechanistic questions unanswered by the crystallographic approach.

## 6 Future prospects

As already mentioned above, the easy generation of large combinatorial libraries of RNA or DNA molecules has extended the fields of application of oligonucleotides from molecular biology to pharmacology and even organic chemistry.<sup>52</sup> Selection experiments afford oligonucleotides, commonly named aptamers, which may selectively bind to bioactive macromolecules (proteins or nucleic acids) or small molecules (dyes, amino acids, antibiotics, *etc.*). The presence of secondary structures such as bulges, hairpins or junctions is frequently associated with the recognition, binding or catalytic processes. The structural studies of aptamer–small molecule complexes should give new insights into the understanding of molecular recognition of nucleic acids.

DNA nanotechnology, *i.e.* the construction of specific geometrical or topological DNA objects, is a new field of investigation that has been developed by Seeman and recently reviewed.<sup>53</sup> The goals include the use of DNA molecules to organise the assembly of other macromolecules for crystal facilitation or memory device construction. Topological objects such as knots and catenates have also been designed; their central features are their crossings or nodes. Right-handed B-DNA generates negative nodes and left-handed Z-DNA generates positive nodes, therefore controlling the sequence of a single-stranded DNA and the ion content allows the specific formation of various types of knot. RNA knots have also been prepared and used to demonstrate an RNA topoisomerase activity. Various 3-D DNA objects have been made using branched DNA components as building blocks, as for example a cube-like object corresponding to a hexacatenate of single-stranded DNA. Each of the six faces contains a cyclic strand doubly linked to its four neighbouring strands. Very recently,<sup>54</sup> the control of the B–Z transition has been used to design a nanomechanical device allowing rotation between two objects.

The use of DNA in computing science is another exciting area of research. A self-assembly model of computing, based on different equilibria of B- and Z-DNA has been already proposed.<sup>55</sup>

RNA and DNA are no longer considered as only passive carriers of genetic information but have been shown to act as active biomolecules involved in many key cellular processes. This functional diversity has been attributed to the complexity of the edifices generated by secondary structures and tertiary interactions. Because DNA and RNA are polyelectrolytes, their tertiary structures may create binding pockets for ions, small ligands and proteins. These recognition sites can be promising targets for designing specific binders that could modulate the cellular processes involving these biologically active structures. Furthermore, the duality of ribozymes which cleave other RNA molecules with high sequence specificity are promising in therapeutic practices that require the control of gene expression. A recent review on the use of catalytic RNA on gene function inhibition has been published by Muotri *et al.*<sup>56</sup>

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